EMIR Disclosure of Prices and Fees for CCBI Global Markets (UK) Limited

Introduction

Article 38(1) of the European Market Infrastructure Regulation (EMIR) requires clearing members to publicly disclose the prices and fees associated with each service provided, including discounts and rebates and the conditions to benefit from those reductions.

In addition, Article 39(7) of EMIR requires clearing members to publicly disclose the levels of protection and the costs associated with the different levels of segregation that they provide.

This document gives details of all the costs mentioned above. Details of the different levels of segregation, including a description of the main legal implications of the respective levels of segregation offered can be found separately on our website www.ccbintl.co.uk

Prices and Fees

Trading commission:	Maximum 1/8% of the gross contract value.
Transaction and Clearing Standard fee (per lot per side):	Outrights (Electronic) = USD 3.38 Normal carry (Electronic) = USD 6.76 Options = USD 3.66 Inter Office = USD 4.26 Short-dated Carries* (electronic) = USD 0.78 Medium-dated Carries** Select (Electronic) = USD 1.69 Short-dated Carries* (Inter Office) = USD 0.95 Medium-dated Carries** Select (Inter Office) = USD 2.50
LME Transfers/Financing Rate per lot per leg per side	USD4.26

LME Give-up Clearing Rate per lot per leg per side	Outrights / Other Carries (IO) = USD 3.38 Short-dated Carries* (IO) = USD 1.10 Medium-dated Carries** (IO) = USD 1.96 Outright / Other Carries (Electronic) = USD 2.70 Short-dated Carries* (Electronic) = USD 1.04 Medium-dated Carries** (Electronic) = USD 1.62
Delegated Trade Reporting:	Maximum USD 500 per month.
OSA Accounts:	There are no Set up or Annual Account fees.
ISA Accounts:	Set up fee USD 10,000 (or currency equivalent). Annual Account fee USD 10,000 (or currency equivalent).

*Short-dated carries are where all carry dates are between the TOM date (next LME prompt day) and 60 calendar days forward from the TOM date inclusive.
**Medium dated carries are carries which are not short-dated, and where all legs are within 35 calendar days forward from the closest prompt date.

Fees & Charges for Physical Deliveries	
Withdrawal of LME Sword warrant(s) per warrant	USD 9.73
Lodge of LME Sword warrant(s) per warrant	USD 2.44
Ex-cleared transfers per warrant	USD 8.55
Storage per warrant per day	USD 0.08

Interest Rates

Positive Cash Balance Maintained with us:	Maximum SOFR +CAS -50 bps credited by us.

Negative Cash Balance Maintained with us:	Maximum SOFR +CAS +200 bps debited by us.
Credit Line:	Maximum HSBC USD Prime rate+500 bps debited by us.

No other interest will be charged or paid.

The above prices for the services offered by CCBI Global Markets (UK) Limited are the maximum charges that the company would currently charge. Prices and fees may differ depending on the individual client's circumstances. This may include the number and size of trades transacted and the client's credit rating.